1	EDMUND G. BROWN JR., Attorney General		
2	of the State of California PAUL C. AMENT Supervising Deputy Attorney General E. A. JONES, III, State Bar No. 71375 Deputy Attorney General ELAINE GYURKO		
3			
4			
5	Senior Legal Analyst California Department of Justice 300 So. Spring Street, Suite 1702 Los Angeles, California 90013 Telephone: (213) 897-4944 Facsimile: (213) 897-9395 Attorneys for Complainant		
6			
7			
8			
9	BEFORE THE RESPIRATORY CARE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
10			
11			
12	In the Matter of the Statement of Issues Against:	Case No. S-393	
13	MAXIMO ARMENTA 7040 San Luis Street	STIPULATED SETTLEMENT AND	
14	Paramount, California 90723	DISCIPLINARY ORDER	
15	Respondent.		
16			
17	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the		
18	above-entitled proceedings that the following matters are true:		
19	<u>PARTIES</u>		
20	1. Stephanie Nunez (Complainant) is the Executive Officer of the		
21	Respiratory Care Board of California (Board). She brought this action solely in her official		
22	capacity and is represented in this matter by Edmund G. Brown Jr., Attorney General of the State		
23	of California, by Elaine Gyurko, Senior Legal Analyst.		
24	2. Maximo Armenta (Respondent) is representing himself in this proceeding		
25	and has chosen not to exercise his right to be represented by counsel.		
26	3. On or about December 12, 200	06, Respondent submitted an application for	
27	licensure to the Board. On or about August 16, 2007, the Board denied Respondent's		
28	application. On or about August 31, 2007, Respondent requested a hearing.		

## **JURISDICTION**

4. Statement of Issues No. S-393 was filed before the Board and is currently pending against Respondent. The Statement of Issues and all other statutorily required documents were properly served on Respondent on December 5, 2007. Respondent timely filed his Notice of Defense contesting the Statement of Issues. A copy of Statement of Issues No. S-393 is attached as Exhibit 1 and incorporated herein by reference.

## **ADVISEMENT AND WAIVERS**

- 5. Respondent has carefully read and understands the charges and allegations in Statement of Issues No. S-393. Respondent has also carefully read and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of his legal rights in this matter, including the right to a hearing on the charges and allegations in the Statement of Issues; the right to be represented by counsel at his own expense; the right to confront and cross-examine the witnesses against him; the right to present evidence and to testify on his own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

#### **CULPABILITY**

- 8. Respondent admits the truth of each and every charge and allegation in Statement of Issues No. S-393.
- 9. Respondent agrees that his Respiratory Care Practitioner License is subject to denial, and he agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.

#### CONTINGENCY

10. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that the Board's staff and counsel for Complainant may communicate

11. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.

12. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

# **DISCIPLINARY ORDER**

IT IS HEREBY ORDERED that Respondent Maximo Armenta be issued a conditional license to practice respiratory care, which shall be on probation to the Board for a period of two (2) years on the following terms and conditions:

1. WORK SCHEDULES Respondent shall be required to submit to the probation monitor work schedules on a weekly/monthly basis for the length of probation.

Respondent shall ensure the Board has a copy of his current work schedule at all times for each place of employment.

Failure to submit current work schedules on a continuous basis shall constitute a violation of probation, and shall result in the filing of an accusation and/or a petition to revoke probation against Respondent's respiratory care practitioner license.

2. <u>BIOLOGICAL FLUID TESTING</u> Respondent, at his expense, shall participate in random testing, including, but not limited to, biological fluid testing (i.e. urine, blood, saliva), breathalyzer, hair follicle testing, or a drug screening program approved by the Board. Test costs range from \$21.00 to \$200.00 each. The length of time shall be for the entire

probation period. The frequency and location of testing will be determined by the Board.

At all times, Respondent shall fully cooperate with the Board or any of its representatives, and shall, when directed, appear for testing as requested, and submit to such tests and samples for the detection of alcohol, narcotics, hypnotics, dangerous drugs or other controlled substances.

If Respondent is unable to provide a specimen in a reasonable amount of time from the request, while at the work site, Respondent understands that any Board representative may request from the supervisor, manager or director on duty to observe Respondent in a manner that does not interrupt or jeopardize patient care in any manner, until such time Respondent provides a specimen acceptable to the Board.

Failure to submit to testing or appear as requested by any Board representative for testing, as directed, shall constitute a violation of probation, and shall result in the filing of an accusation and/or a petition to revoke probation against Respondent's respiratory care practitioner license.

3. <u>ABSTENTION FROM USE OF DRUGS AND ALCOHOL</u> Respondent shall completely abstain from the possession or use of alcohol, controlled substances, dangerous drugs, any and all other mood altering drugs, substances and their associated paraphernalia, except when the drugs are lawfully prescribed by a licensed practitioner as part of a documented medical treatment.

Respondent shall execute a release authorizing the release of pharmacy and prescribing records as well as physical and mental health medical records. Respondent shall also provide information of treating physicians, counselors or any other treating professionals as requested by the Board.

Respondent shall ensure that he is not in the presence of or in the same physical location as individuals who are using illegal substances, even if Respondent is not personally ingesting the drug(s).

Any positive result that registers over the established laboratory cutoff level shall constitute a violation of probation, and shall result in the filing of an accusation and/or a petition

to revoke probation against Respondent's respiratory care practitioner license.

Respondent also understands and agrees that any positive result that registers over the established laboratory cutoff level shall be reported to each of Respondent's employers.

- 4. <u>RESTRICTION OF PRACTICE</u> Respondent may not be employed or function as a member of a respiratory care management or supervisory staff during the entire length of probation. This includes lead functions.
- . <u>OBEY ALL LAWS</u> Respondent shall obey all laws, whether federal, state, or local. Respondent shall also obey all regulations governing the practice of respiratory care in California.

Respondent shall notify the Board in writing within 14 days of any incident resulting in his arrest, or charges filed against, or a citation issued against Respondent.

6. QUARTERLY REPORTS Respondent shall file quarterly reports of compliance under penalty of perjury, on forms to be provided to the probation monitor assigned by the Board. Omission or falsification in any manner of any information on these reports shall constitute a violation of probation, and shall result in the filing of an accusation and/or a petition to revoke probation against Respondent's respiratory care practitioner license.

Quarterly report forms will be provided by the Board. Respondent is responsible for contacting the Board to obtain additional forms if needed. Quarterly reports are due for each year of probation and the entire length of probation as follows:

For the period covering January 1<sup>st</sup> through March 31<sup>st</sup>, reports are to be completed and submitted between April 1<sup>st</sup> and April 7<sup>th</sup>. For the period covering April 1<sup>st</sup> through June 30<sup>th</sup>, reports are to be completed and submitted between July 1<sup>st</sup> and July 7<sup>th</sup>. For the period covering July 1<sup>st</sup> through September 30<sup>th</sup>, reports are to be completed and submitted between October 1<sup>st</sup> and October 7<sup>th</sup>. For the period covering October 1<sup>st</sup> through December 31<sup>st</sup>, reports are to be completed and submitted between January 1<sup>st</sup> and January 7<sup>th</sup>.

Failure to submit complete and timely reports shall constitute a violation of probation.

7. <u>PROBATION MONITORING PROGRAM</u> Respondent shall comply with requirements of the Board appointed probation monitoring program, and shall, upon reasonable request, report to or appear to a local venue as directed.

Respondent shall claim all certified mail issued by the Board, respond to all notices of reasonable requests timely, and submit Annual Reports, Identification Update reports or other reports similar in nature, as requested and directed by the Board or its representative.

Respondent is encouraged to contact the Board's Probation Program at any time he has a question or concern regarding his terms and conditions of probation.

Failure to appear for any scheduled meeting or examination, or cooperate with the requirements of the program, including timely submission of requested information, shall constitute a violation of probation, and will result in the filing of an accusation and/or a petition to revoke probation against Respondent's respiratory care practitioner license.

8. <u>PROBATION MONITORING COSTS</u> All costs incurred for probation monitoring during the entire probation shall be paid by the Respondent. The monthly cost may be adjusted as expenses are reduced or increased. Respondent's failure to comply with all terms and conditions may also cause this amount to be increased.

All payments for costs are to be sent directly to the Respiratory Care Board and must be received by the date(s) specified. (Periods of tolling will not toll the probation monitoring costs incurred.)

If Respondent is unable to submit costs for any month, he shall be required instead to submit an explanation of why he is unable to submit the costs, and the date(s) he will be able to submit the costs including payment amount(s). Supporting documentation and evidence of why the Respondent is unable to make such payment(s) must accompany this submission.

Respondent understands that failure to submit costs timely is a violation of probation, and submission of evidence demonstrating financial hardship does not preclude the Board from pursuing further disciplinary action. However, Respondent understands providing evidence and supporting documentation of financial hardship may delay further disciplinary action.

In addition to any other disciplinary action taken by the Board, an unrestricted license will not be issued at the end of the probationary period and the respiratory care practitioner license will not be renewed, until such time all probation monitoring costs have been paid.

The filing of bankruptcy by Respondent shall not relieve the Respondent of his responsibility to reimburse the Board for costs incurred.

9. <u>EMPLOYMENT REQUIREMENT</u> Respondent shall be employed a minimum of 24 hours per week as a respiratory care practitioner for a minimum of 2/3 of his probation period.

Respondent may substitute successful completion of a minimum of thirty (30) additional continuing education hours, beyond that which is required for license renewal, for each 8 months of employment required. Respondent shall submit proof to the Board of successful completion of all continuing education requirements. Respondent is responsible for paying all costs associated with fulfilling this term and condition of probation.

10. NOTICE TO EMPLOYER Respondent shall be required to inform his employer, and each subsequent employer during the probation period, of the discipline imposed by this decision by providing his supervisor and director and all subsequent supervisors and directors with a copy of the decision and order, and the Statement of Issues in this matter prior to the beginning of or returning to employment or within 14 days from each change in a supervisor or director.

If Respondent is employed by or through a registry, Respondent shall make each hospital or establishment to which he is sent aware of the discipline imposed by this decision by providing his direct supervisor and administrator at each hospital or establishment with a copy of this decision, and the Statement of Issues in this matter prior to the beginning of employment. This must be done each time there is a change in supervisors or administrators.

The employer will then inform the Board, in writing, that he is aware of the discipline, on forms to be provided to the Respondent. Respondent is responsible for contacting the Board to obtain additional forms, if needed. All reports completed by the employer must be

submitted from the employer directly to the Board.

Respondent shall execute a release authorizing the Board or any of its representatives to review and obtain copies of all employment records and discuss and inquire of the probationary status with any of Respondent's supervisors or directors.

11. <u>CHANGES OF EMPLOYMENT OR RESIDENCE</u> Respondent shall notify the Board, and appointed probation monitor, in writing, of any and all changes of employment, location, and address within 14 days of such change. This includes, but is not limited to, applying for employment, termination or resignation from employment, change in employment status, change in supervisors, administrators or directors.

Respondent shall also notify his probation monitor AND the Board IN WRITING of any changes of residence or mailing address within 14 days. P.O. Boxes are accepted for mailing purposes, however the Respondent must also provide his physical residence address as well.

- 12. <u>SURRENDER OF LICENSE</u> Following the effective date of this decision, if Respondent ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the terms and conditions of probation, he may request the voluntary surrender of his license. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether or not to grant the request or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance of the surrender, within 15 calendar days, Respondent shall deliver his wallet and wall certificate to the Board or its designee and he shall no longer practice respiratory care. Respondent will no longer be subject to the terms and conditions of probation and the surrender of Respondent's license shall be deemed disciplinary action. If Respondent re-applies for a respiratory care license, the application shall be treated as a petition for reinstatement of a revoked license.
- 13. <u>COST RECOVERY</u> Respondent shall pay to the Board a sum not to exceed the costs of the investigation and prosecution of this case. That sum shall be \$1,594.00 and shall be paid in full directly to the Board, in equal quarterly payments, within 12 months from the effective date of this decision. Cost recovery will not be tolled.

If Respondent is unable to submit costs timely, he shall be required instead to submit an explanation of why he is unable to submit these costs in part or in entirety, and the date(s) he will be able to submit the costs including payment amount(s). Supporting documentation and evidence of why the Respondent is unable to make such payment(s) must accompany this submission.

Respondent understands that failure to submit costs timely is a violation of probation, and submission of evidence demonstrating financial hardship does not preclude the Board from pursuing further disciplinary action. However, Respondent understands that providing evidence and supporting documentation of financial hardship may delay further disciplinary action.

Consideration to financial hardship will not be given should Respondent violate this term and condition, unless an unexpected AND unavoidable hardship is established from the date of this order to the date payment(s) is due.

The filing of bankruptcy by the Respondent shall not relieve the Respondent of his responsibility to reimburse the Board for these costs.

- of residency or practice outside California, whether the periods of residency or practice are temporary or permanent, will toll the probation period, but will not toll the cost recovery requirement, nor the probation monitoring costs incurred. Travel out of California for more than 30 days must be reported to the Board in writing prior to departure. Respondent shall notify the Board, in writing, within 14 days, upon his return to California and prior to the commencement of any employment where representation as a respiratory care practitioner is/was provided.
- 15. <u>VALID LICENSE STATUS</u> Respondent shall maintain a current, active and valid license for the length of the probation period. Failure to pay all fees and meet Continuing Education requirements prior to his license expiration date shall constitute a violation of probation.
- 16. <u>VIOLATION OF PROBATION</u> If Respondent violates any term of the probation in any respect, the Board, after giving Respondent notice and the opportunity to be

1	heard, may revoke probation and carry out the disciplinary order that was stayed. If a petition to		
2	revoke probation is filed against Respondent during probation, the Board shall have continuing		
3	jurisdiction and the period of probation shall be extended until the matter is final. No petition		
4	for modification of penalty shall be considered while there is an accusation or petition to revoke		
5	probation or other penalty pending against Respondent.		
6	17. <u>COMPLETION OF PROBATION</u> Upon successful completion of		
7	probation, Respondent's license shall be fully restored.		
8	<u>ACCEPTANCE</u>		
9	I have carefully read the above Stipulated Settlement and Disciplinary Order, and		
10	I fully understand the terms and conditions and other matters contained therein. I understand the		
11	effect this stipulation will have on my Respiratory Care Practitioner License. I enter into this		
12	Stipulated Settlement voluntarily, knowingly and intelligently and agree to be bound by the		
13	Disciplinary Order and Decision of the Respiratory Care Board.		
14	DATED: March 5, 2008.		
15			
<ul><li>16</li><li>17</li></ul>	Original signed by: MAXIMO ARMENTA Respondent		
18	<u>ENDORSEMENT</u>		
19	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully		
20	submitted for consideration by the Respiratory Care Board of the Department of Consumer		
21	Affairs.		
22	DATED: April 4, 2008.		
23	EDMUND G. BROWN JR., Attorney General		
24	of the State of California		
25			
26	Original signed by: ELAINE GYURKO		
27	Senior Legal Analyst		
28	Attorneys for Complainant		

# BEFORE THE RESPIRATORY CARE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Statement of Issues Against:

Case No. S-393

MAXIMO ARMENTA 7040 San Luis Street Paramount, California 90723

Respondent.

# **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Respiratory Care Board, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on June 25, 2008.

It is so ORDERED June 16, 2008.

Original signed by:

LARRY L. RENNER, BS, RRT, RCP, RPFT PRESIDENT, RESPIRATORY CARE BOARD DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA